

**U.S. Consumer Product Safety
Commission
Compliance Course at
ICPHSO
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Orlando, Florida**

COMPLIANCE UPDATES

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CPSC Responsibility

- **Responsible for consumer products used in and around the home, hotels, motels schools in recreation or otherwise**



The Problem

- **23,900 Deaths**
- **32,700,000 Injuries**
- **\$700,000,000,000 in Societal Costs**
- **15,000 Different Types of Consumer Products**
 - **estimated annual losses associated with consumer products**



CPSC Authority

- **CPSC has broad authority to:**
 - **Issue mandatory safety standards**
 - **Ban products where a standard is not feasible**
 - **Recall dangerous or violative products**
 - **Seize products that violate mandatory standards**
 - **Seek civil and criminal penalties**
 - **Work with industry to develop voluntary standards**



Authority Continued

- **Educate consumers on the safe use of products**
- **Educate industry on our rules and regulations**



Compliance Responsibilities

- **Enforces CPSC rules and regulations**
- **Investigates violations of mandatory standards and defective products**
- **Seeks recalls for defective products and products that violate mandatory standards**
- **Educates industry on mandatory standards**
- **Monitors compliance with voluntary industry standards**



CPSC Staffing

- **Current budget approx \$60 million for FY 2004 – Staff of 471**

Staff includes Compliance Officers, Investigators, Attorneys, Engineers, Epidemiologists, Scientists, Economists, Public Affairs Specialists, Physiologists, Psychologists, Engineers, Statisticians, Budget Analysts, Contract Specialists



CPSC Field Staff

- **Approximately 90 Investigators in 52 different locations around the U.S.**
- **Reporting through 3 Regional Offices in New York City, Chicago and Oakland**
- **Field Staff Conducts:**
 - **4,000 In-depth Investigations yearly**
 - **3,000 sample collections**
 - **1,000 inspections of manufacturers, importers, distributors and retailers**



CPSC Source Data

- CPSC Web Site Visitors – 9.2 million
- CPSC Web Site Hits - 32 million
- CPSC Web Site Incident Reports – 5,200
- CPSC Hotline Incident Reports – 3911
- Subscribers CPSC Recall Lists – 66,000
- CPSC Brochures sent out – 1.9 million
- Requests to CPSC Clearinghouse – 3,000
- FOI Requests - 8,400
- FOIA Electronic Reading Room Visits - 68,900



Office of Compliance Updates

Office of Compliance Staff
Accomplishments
New Initiatives



Office of Compliance Staff

- Compliance Officers/Attorneys
- Responsiveness
- Case Feedback
- Organization Chart
- Associate Directors
- Product Area Listing



Accomplishments

Section 15 Reports

10/01 – 09/30

- FY 2004 - 148 (72 Fast Track)
- FY 2003 - 340 (154 Fast Track)
- FY 2002 - 367 (212 Fast Track)
- FY 2001 - 346 (204 Fast Track)
- FY 2000 - 295 (156 Fast Track)



Section 37/CSPA Reports

- **Section 37 Reports since 1991 - Over 650**
 - New two year reporting period began
 - January 1, 2003 - December 31, 2004
- **Choking Hazards (Child Safety Protection Act)**
 - FY 03 – 19
 - FY 04 – 10 (to date)



Accomplishments Voluntary Recalls 10/01/-09/30

- FY 2004 – 120 – 21 million - 72 FT
- FY 2003 – 278 – 39 million - 154 FT
- FY 2002 – 391 – 50 million – 212 FT
- FY 2001 - 345 - 123 million- 204 FT
- FY 2000 - 309 - 91 million - 156 FT



CPSC Enforcement With U.S. Customs

- **470 Shipments (1.5 million units) samples**
- **Seized/Detained 181 of these shipments**
- **Retail Value of \$2,000,000**
- **Product Seized Include:**
 - **Cigarette & Multipurpose lighters**
 - **Toys Fireworks**
 - **Sleepwear**



Civil Penalties

10/01-09/30

- FY 04 - \$1,675,000 involving 4 firms
- FY 03 - \$2,0350,00 involving 5 firms
- FY 02 - \$4,215,000 involving 13 firms
- FY 01 - \$6,500,000 involving 11 firms
 - Includes penalties under the CPSA; FHSA; PPPA and FFA



CPSC/U.S. Customs

- **Access to Customs Data Bases**
 - Identify shipments of goods into the U.S.
 - Identify dates of arrival for shipments

More selective enforcement

Target key products and firms based upon previous violations



CPSC Surveillance Activities

- Enhanced Retail Surveillance
- Enhanced Internet Surveillance



Office of Compliance Summary

- Firms Continue To Report Less Significant Hazards
 - FY 2002 & FY 2003
 - 11 Class B
 - 22 Class C
 - 85 Class D



Office of Compliance Summary

- CPSC Staff Continues to Identify More Significant Hazards
 - FY 2002 & FY 2003
 - 67 Class B
 - 29 Class C
 - 6 Class D



Office of Compliance Summary

- FY 2001/2002/2003 – 213 million product units recalled
- Top 5 Product Categories recalled in FY 2003-2004:
 - 28% (113 recalls) Children's Products
 - 27% (110 recalls) Fire Hazards
 - 12% (49 recalls) Household Products
 - 11% (46 recalls) Sports/Recreation
 - 11% (46 recalls) Chemical Products



Office of Compliance Summary

- Most Effective Return Rates
 - Products that cause electrocution
 - Products that cause fire
 - Children's products
 - Power tools
 - Chemical hazards



Office of Compliance Activity Summary

- FY 2004 Section 15 Reports on Track for 400 plus reports
- FY 2004 Recalls on Track for nearly 400 plus recalls
- Fast Tracks Reports peaked in FY 2001 (219) to 176 in FY 2003
- Traditional Section 15 cases are up



Ongoing Activities

- Recall Effectiveness Meetings
 - Summary of Meetings on Website
 - Recommendations to Commission
- www.recalls.gov
 - Approximately 100,000 visitors a month
 - (FY 2004)



Ongoing Activities

- Resale Stores Recall Round Up Activities
 - April 2004
 - Focus on resale type stores selling recalled and/or violative products
- CPSC Regional State Workshops
 - Multi-state events
 - Atlantic City, NJ – 5/11-12/04
 - Southern California 5/24-25/04



Ongoing Activities

- Retailer Reporting
- Early Identification of Emerging Hazards



Industry Guidance On Line

- www.cpsc.gov
- Recall Information Companies
- Testing Laboratories
- Other Industry Guidance
 - Regulatory Summaries
 - Staff Guidance



Industry Guidance

- WWW.CPSC.GOV
 - Business Icon
 - Regulations
 - Industry Guidance

